



AO

(4) 2681

**INFORMATION  
DISCLOSURE STATEMENT  
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To Commissioner For Patents

Enclosed herewith is a Form PTO-1449 and required copies of documents listed thereon and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

|                        |                    |
|------------------------|--------------------|
| Application Number     | 09/973,312         |
| Filing Date            | October 9, 2001    |
| First Named Inventor   | CHRISTOPH HERRMANN |
| Group Art Unit         | 2681               |
| Examiner Name          |                    |
| Attorney Docket Number | DE 000173          |

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
- ☐ otherwise a concise explanation of the relevance of each document is append hereto.
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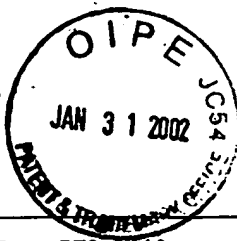
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                       |                         |                 |
|-------------------|-----------------------|-------------------------|-----------------|
| Name (Print Type) | Jack D. Slobod        | Registration No. 26,236 |                 |
| Signature         | <i>Jack D. Slobod</i> | Date                    | January 8, 2002 |

**CERTIFICATE OF MAILING OR TRANSMISSION**

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| Signature         | <i>Natale A. Manzo</i> | Date | 1/8/02 |



|   |    |  |      |                                 |       |                       |                           |
|---|----|--|------|---------------------------------|-------|-----------------------|---------------------------|
| Form PTO-1449<br>COMMERCE<br>(REV. 7-80)  |    | U.S. DEPARTMENT OF<br>PATENT AND TRADEMARK OFFICE  |      | Atty. Docket No.<br>DE 000173   |       | Serial No. 09/973,312 |                           |
| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary)  |    |  |      | Applicant<br>CHRISTOPH HERRMANN |       |                       |                           |
|   |    |  |      | Filing Date<br>October 9, 2001  |       | Group 2681            |                           |
| U.S. PATENT DOCUMENTS   |    |  |      |                                 |       |                       |                           |
| Ex.<br>Int.   |    | Document<br>Number   | Date | Name                            | Class | Sub-<br>class         | Filing Date<br>If Approp. |
|   | AA |  |      |                                 |       |                       |                           |
|   | AB |  |      |                                 |       |                       |                           |
|   | AC |  |      |                                 |       |                       |                           |
|   | AD |  |      |                                 |       |                       |                           |
|   | AE |  |      |                                 |       |                       |                           |
|   | AF |  |      |                                 |       |                       |                           |
| FOREIGN PATENT DOCUMENTS  |    |  |      |                                 |       |                       |                           |
|   |    | Document<br>Number   | Date | Country                         | Class | Sub-<br>class         | Trans.<br>Yes No          |
|   | AG |  |      |                                 |       |                       |                           |
|   | AH |  |      |                                 |       |                       |                           |
|   | AI |  |      |                                 |       |                       |                           |
|   | AJ |  |      |                                 |       |                       |                           |
|   | AK |  |      |                                 |       |                       |                           |
| OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)  |    |  |      |                                 |       |                       |                           |
|   | AL | BY SOPHIA ANTIPOLIS, 3 <sup>RD</sup> GENERATION PARTNERSHIP PROJECT, ENTITLED: TECHNICAL SPECIFICATION GROUP RADIO ACCESS NETWORK; REPORT ON HYBRID ARQ TYPE II/III (RELEASE 2000), 3G TR 25.835 VO.O.2, TSG-RAN WORKING GROUP 2 (RADIO L2 AND RADIO L3, FRANCE, AUGUST 15-21, 2000. |      |                                 |       |                       |                           |
| Examiner  |    |  |      | Date Considered                 |       |                       |                           |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP Draw line through citation if not in conformance and not considered. Include a copy this form with next communication to applicant. |    |  |      |                                 |       |                       |                           |

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